

The Exim Mail Transfer Agent

**Input Control and Access Control Lists**

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## Topics

- Incoming message control features
- System filtering features
- No time for detailed discussion of
  - Configuration syntax
  - File and database lookups
  - String expansions
  - Domain, host, and address lists
- No coverage of routing and delivery or operational details, process structure, SMTP protocol, etc.
- The Exim release covered is 4.30

## Incoming message control features

- SMTP authentication
- SMTP session encryption using TLS (SSL)
- Address verification (checking envelope addresses)
- Local policy is defined in *access control lists* (ACLs)
  - Rules for accepting messages for local delivery
  - Rules for accepting messages for relaying to other hosts
- Can also link into Exim a *local\_scan()* function to do custom checks on incoming messages

## Authentication

- SASL - Simple authentication and security layer
  - General framework for client-server authentication
  - Different authentication “mechanisms”
- Server advertises supported mechanisms
  - May be tailored for the client
- Client requests authentication by a specific mechanism
  - Data may be included with the request
- Server sends a “challenge” and the client responds
  - May be repeated any number of times
- Server accepts or rejects authentication
  - 235 Successful authentication
  - 435 Temporary problem with authentication
  - 535 Authentication failed

## Authentication in SMTP

- Mechanisms advertised in response to EHLO

```
EHLO client.plc.ex
```

```
250-server.plc.ex Hello ph10 at client.plc.ex
```

```
250-SIZE 10485760
```

```
250-PIPELINING
```

```
250-AUTH PLAIN LOGIN
```

```
250 HELP
```

- Command is AUTH <mechanism> [data]
- Challenges use response code 334
- All data is base64 encoded

## PLAIN authentication (RFC 2595)

- Client sends a single set of data, containing three items
  - Identity to login as (not relevant for SMTP)
  - Identity whose password is to be checked
  - The password
- Binary zeros separate the three data items

```
AUTH PLAIN AHB0MTAAc2VjcmV0
```
- Unencoded that is

```
AUTH PLAIN <nul>ph10<nul>secret
```
- The first field is usually empty in SMTP
- Server responds immediately with success/failure
  - Password is transmitted in cleartext if session not encrypted
  - No challenge is issued; only one exchange is needed
  - (Alternate usage has no data with AUTH and an empty prompt)

## LOGIN authentication

- No formal definition; used by Pine and the c-client library
- Separate prompts for username and password

### **AUTH LOGIN**

```
334 VXNlcm5hbWU6                (Username:)  
cGxMA==                        (ph10)  
334 UGVzcmQ6                    (Password:)  
c2VjcmV0                       (secret)  
235 Authentication successful
```

- Password is again passed in cleartext  
Three exchanges are required
- Some clients are picky about the exact text of the prompts

## CRAM-MD5 authentication (RFC 2195)

- Server sends a challenge string which is different each time

### **AUTH CRAM-MD5**

```
334 PDE4OTYuNjk3MTcwOTUyQHBvc3RvZmZpY2Uuc...  
    <1896.697170952@postoffice.reston.mci.net>
```

- Client sends back a username and the MD5 digest of the challenge string concatenated with the password (in hex)

```
dGltIGI5MTNhNjAyYzd1ZGE3YTQ5NWl0ZTZlNzZmZmNG...  
tim b913a602c7eda7a495b4e6e7334d3890  
235 Authentication successful
```

- Password does not traverse the network  
But must be stored in cleartext at both ends

## Configuration file

- Exim uses a single runtime configuration file, divided into a number of sections
- The first section contains global option settings
- The other sections start with “begin *sectionname*”  
They are optional, and may appear in any order
- Comments, macros, if-then-else, and inclusions are available
- Option settings can refer to auxiliary data files, for example, a file of aliases (usually **/etc/aliases**)
- Many options are “expanded” whenever they are used

## Default configuration file layout

Global option settings			
[ begin ACL	]	required for SMTP input	
Access control lists			
[ begin routers	]	required for message delivery	
Router configuration			
[ begin transports	]		
Transport configuration			
[ begin retry	]		
Retry rules			
[ begin rewrite			
Rewriting rules			
[ begin authenticators			
Authenticator configuration			
[			

## Configuration file settings

- Option settings take the form *name = value*
- Many values are strings which are *expanded*, that is, changed each time they are used
  - For example, the values of variables can be inserted
- Data can also be obtained from files and databases
- Lists of domains, hosts, and addresses are often used
  - Can include wildcards, regular expressions, or use an indexed file or a database to make list searching efficient

## Data lookups (1)

<b>lsearch</b>	linear search
<b>dbm</b>	keyed file (choice of library)
<b>cdb</b>	keyed readonly file
<b>nis</b>	NIS lookup
<b>dsearch</b>	directory search
<b>wildsearch</b>	linear search with wildcards

Single-key  
lookups

<b>nisplus</b>	NIS+ lookup
<b>ldap</b>	LDAP lookup (query in URL format)
<b>mysql</b>	MySQL lookup
<b>pgsql</b>	PostgreSQL lookup
<b>oracle</b>	Oracle lookup
<b>passwd</b>	Password data lookup
<b>dnsdb</b>	DNS lookup
<b>whoson</b>	Whoson lookup

Query-style  
lookups

## String expansions

- Variable and header line substitutions
- String operations: substrings, hashing, IP address masking, character substitution, regex substitution (like Perl “s”), quoting for regex and lookup queries
- Conditional expansion: string matching, numerical tests, combining conditions with “and” and “or”
- Lookups are another form of conditional
- Password checking using *crypt()*, PAM, Radius, LDAP, Cyrus pwcheck or Cyrus saslauthd
- Calling programs, reading from files and sockets

## SMTP authentication in Exim

- Different authenticator drivers for different mechanisms  
Can be configured for server or client or both
- On an Exim server  
AUTH is advertised if the client matches **auth\_advertise\_hosts**  
This is expanded, so can depend on circumstances  
For example, it can be empty unless the session is encrypted
- On an Exim client, authentication is attempted if  
The server is in **hosts\_require\_auth** or **hosts\_try\_auth**  
(options of the **smtp** transport)  
A client authenticator matches an advertised mechanism
- On failure, Exim delivers unauthenticated for **hosts\_try\_auth**

## plaintext authenticator

```
plain:
  driver = plaintext
  public_name = PLAIN
  server_prompts = :
  server_condition = ${if and {{eq{$2}{ph10}}\
                        {eq{$3}{secret}}}{yes}{no}}
  server_set_id = $2
  client_send = ^ph10^secret
login:
  driver = plaintext
  public_name = LOGIN
  server_prompts = Username:: : Password::
  server_condition = ${if crypteq{$2}\
                      {{lookup{$1}lsearch{/etc/passwd}\
                      {{extract{1}{:}}{$value}}}{fail}}{yes}{no}}
  server_set_id = $1
  client_send = : ph10 : secret
```

## cram\_md5 authenticator

```
cram:
  driver = cram_md5
  public_name = CRAM-MD5
  server_secret = ${lookup{$1}dbm\
                  {/md5/secrets}}{$value}fail}
  server_set_id = $1
  client_secret = tanstaaf
```

- Authenticator conducts CRAM-MD5 dialogue
- Places user name in **\$1**
- Expands **server\_secret** and runs CRAM-MD5 check

## Encrypted SMTP connections

- TLS (transport layer security) aka SSL (secure socket layer)  
Exim uses the OpenSSL or GnuTLS library for TLS support
- Server advertises support for STARTTLS command  
Client issues STARTTLS  
Server gives positive response  
An encryption key is then negotiated according to TLS rules  
Subsequent data is encrypted before transmission  
Session state is reset; a new EHLO is needed
- Message is not encrypted while in the hosts at either end  
TLS gives protection only against eavesdroppers  
In particular, protection for AUTH passwords
- Client certificates can be used for authentication

## TLS on an Exim server

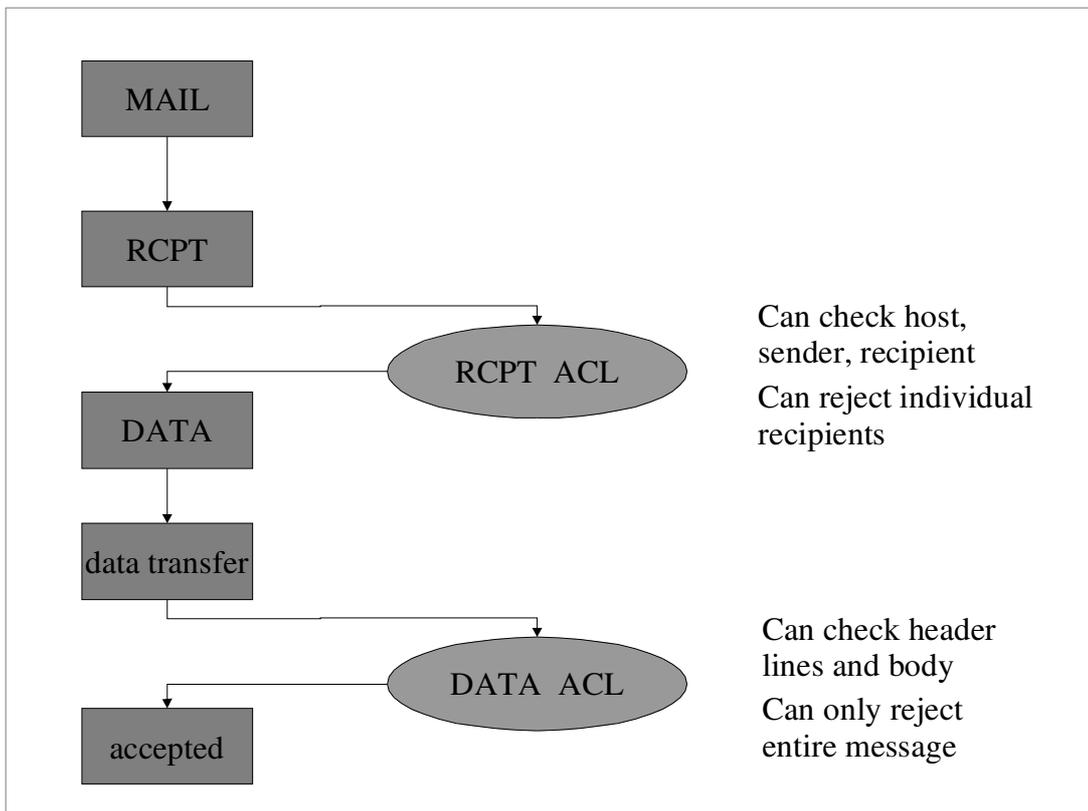
- Three options must be set for TLS to be used at all  
**tls\_certificate** => the file containing the server's certificate  
**tls\_privatekey** => the file containing the server's private key  
**tls\_advertise\_hosts** specifies which clients should be told
- The Exim user must be able to read the private key
- To verify client certificates  
**tls\_verify\_certificates** => the file containing the expected certificates  
**tls\_verify\_hosts** specifies clients that must be verified  
**tls\_try\_verify\_hosts** specifies clients that may be verified

## TLS on an Exim client

- Will try to use TLS by default if the server advertises it  
... if Exim is built with TLS support!
- All the following options are set on the **smtp** transport  
Expansion allows for different values for different servers
- Set **hosts\_avoid\_tls** to suppress encryption for specific hosts
- Set **hosts\_require\_tls** to insist on encryption  
Otherwise, Exim will send in clear if STARTTLS is rejected
- Set **tls\_verify\_certificates** to verify the server's certificate
- Set **tls\_require\_ciphers** to restrict which ciphers are used
- Set **tls\_certificate** and **tls\_privatekey** for client certificate  
Used only if the server requests a certificate

## Access control lists

- Most ACLs are relevant for SMTP input  
They do apply to local SMTP (**-bs**)  
An ACL is available for non-SMTP input
- Main ACLs for incoming SMTP messages  
**acl\_smtp\_rcpt** defines the ACL to be run for each RCPT  
Default is "deny"  
**acl\_smtp\_data** defines the ACL to be run after DATA  
Default is "accept"
- Tests on message content can be done only after DATA or  
in a non-SMTP ACL
- Other ACLs can be used for AUTH, ETRN, EXPN, EHLO,  
MAIL, STARTTLS, VRFY, and at start of an SMTP session



## A simple ACL

```
domainlist my_domains = a.b.c:* .e.f:...
acl_smtp_rcpt = acl_check_rcpt
```

```
begin acl
```

```
acl_check_rcpt:
```

```
  accept  local_parts = postmaster
         domains     = +my_domains
```

```
  require verify     = sender
```

```
  accept  domains    = +my_domains
         verify      = recipient
```

- Implicit “deny” at the end

## Finding an ACL

- **acl\_smtp\_rcpt** etc. are expanded, and can then be:
  - An absolute file name (the file contains the ACL)
  - The name of an ACL in the configuration (previous example)
  - The text of an ACL itself
- Choice of ACL can be made to depend on client host, sender address, recipient address, day of the week, or anything else that Exim knows about

```
acl_smtp_rcpt = ${if eq \  
  ${mask:$sender_host_address/24}{10.1.2.0/24}\  
  {acl_local}{acl_remote}}
```

## ACL statements

- Each statement contains a verb and a list of conditions
  - verb*    *condition 1*                    (one per line)
  - condition 2*
  - ...
- If all the conditions are satisfied
  - accept**    Accepts SMTP command or non-SMTP message (else may pass or reject - see later)
  - defer**     Give a temporary rejection (= **deny** for non-SMTP)
  - deny**      Rejects (else passes)
  - discard**   Like **accept** but discards addresses
  - drop**      Like **deny** but drops an SMTP connection
  - require**   Passes (else rejects)
  - warn**      Takes some warning action (e.g. logs or adds header)
  - Always passes

## ACL conditions and modifiers

- When a condition is false, no subsequent ones are evaluated

- Modifiers can appear among the conditions

**message** is used when access is denied

```
require message = sender must verify
       verify = sender
       message = recipient must verify
       verify = recipient
```

- Modifiers that follow a false condition are not seen

This example does not work

```
require verify = sender
       message = sender must verify
```

## ACL modifiers (1)

- **message** defines a custom message for a denial or warning

```
deny    message = You are black listed at \
          $dnslist_domain
dnslists = rbl.mail-abuse.org : ...
```

- **log\_message** defines a custom log message

```
require log_message = Recipient verify failed
       verify = recipient
```

- **endpass** is used with the **accept** verb for a 3-way outcome

```
accept domains = +local_domains
endpass
       verify = recipient
```

Above **endpass**, failure causes the next statement to be run

Below **endpass**, failure causes rejection

## ACL modifiers (2)

- **control** can specify message freezing or queuing

```
accept hosts = ...
control = queue_only
```
- **delay** causes Exim to wait before continuing

```
deny !verify = recipient
delay = 60s
```
- **logwrite** writes to the Exim log unconditionally

```
accept sender_domains = +special_domains
!verify = sender
logwrite = Accepted unverified sender \
from $sender_address_domain
```

## ACL modifiers (3)

- **set** sets ACL variables

```
warn condition = ...
set acl_m4 = value
```

**acl\_mx** variables remain set for the message  
**acl\_cx** variables remain set for the connection  
Available for use in delivery (from release 4.23)

## The default ACL (1)

```
acl_check_rcpt:

accept  hosts          = :

deny    domains        = +local_domains
        local_parts    = ^[.] : ^.*[@%!/||]

deny    domains        = !+local_domains
        local_parts    = ^[./||] : ^.*[@%!] : \
                        ^.*\/\\.\.\/

accept  local_parts    = postmaster
        domains        = +local_domains

require verify        = sender
```

## The default ACL (2)

```
accept  domains        = +local_domains
        endpass
        message        = unknown user
        verify         = recipient

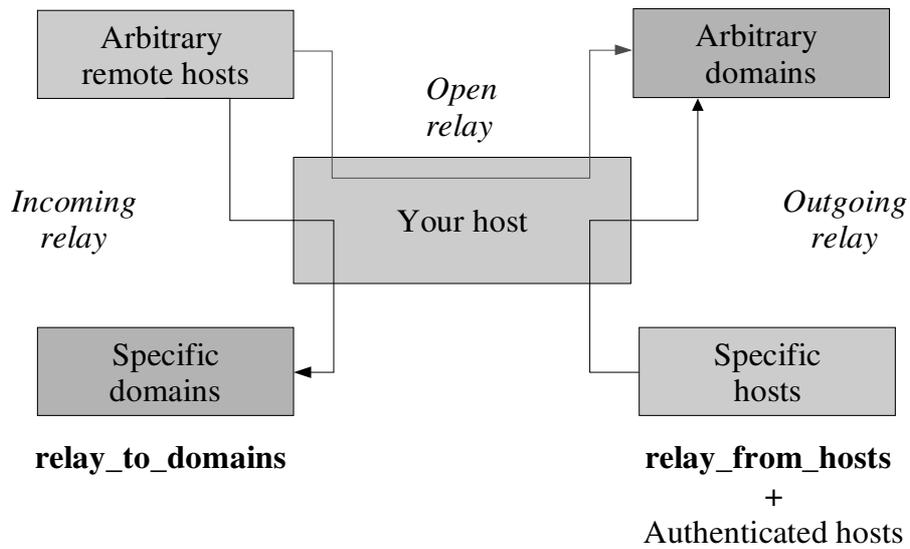
accept  domains        = +relay_to_domains
        endpass
        message        = unrouteable address
        verify         = recipient

accept  hosts          = +relay_from_hosts

accept  authenticated  = *

deny    message        = relay not permitted
```

## Good and bad relaying



## DNS black lists (1)

- Default is to lookup the client host's IP address

```
deny message = rejected because \  
    $sender_host_address is in a black \  
    list at $dnslist_domain\n$dnslist_text  
dnslists = blackholes.mail-abuse.org
```

```
warn message = X-Warning: $sender_host_address\  
    is in a black list at $dnslist_domain  
log_message = found in $dnslist_domain  
dnslists = dialups.mail-abuse.org
```

- Can also lookup mail domains

```
deny message = sender's domain is listed at \  
    $dnslist_domain  
dnslists = dsn.rfc-ignorant.org\  
    $sender_address_domain
```

## DNS black lists (2)

- The RHS value can be specified

```
deny dnslists = rblplus.mail-abuse.org=127.0.0.2
```

- A comma-separated list of values is allowed
- Negated and bit-mask tests are also available
- The value is in **\$dnslist\_value** during message expansion
- DNS list lookups are cached for each incoming message  
(Not repeated for each recipient)

## Address verification (1)

- Verification asks:

*Could we deliver a bounce to this address?*

- Check by running the address through the routers
- “Verify mode” is set; routers can behave differently
  - Skip this router if **no\_verify** is set
  - Use this router only for verification if **verify\_only** is set
  - Fail instead of accept if verifying and **fail\_verify** is set

## Address verification (2)

- Default verifies only the domain for remote addresses
- Can use “callout” for checking the local part  
`require verify = sender/callout`
- Makes an SMTP call to the routed host and checks with a RCPT command (this is expensive, but a cache is used)  
Can be used for recipients as well as senders  
“Random” and postmaster checks can be requested
- Verification defers can be allowed to pass  
`require verify = sender/defer_ok`  
`verify = recipient/callout=defer_ok`

## Verifying header syntax

```
require verify = header_syntax
```

- Checks those headers that contain addresses  
**From: To: Cc: Bcc: Reply-To: Sender:**
- Can be used only after DATA or in non-SMTP ACL
- Catches syntactic junk (often seen in spam)  
To: @  
To: Undisclosed recipients  
To: abc@x.y.z <abc@x.y.z>  
To: <>
- Rejects unqualified addresses by default  
Set **sender\_unqualified\_hosts / recipient\_unqualified\_hosts**

## Verifying a header sender address

```
require verify = header_sender[/options]
```

- Ensures that there is a valid sender in at least one header line  
Checks **Sender:**, **Reply-To:**, and **From:**

- Can be restricted to bounce messages only

```
deny senders = :  
    message = Need valid header sender  
!verify = header_sender
```

- **senders** checks the envelope sender address  
Empty item checks for empty sender (bounce message)

## Requiring encryption

- Can check for specific ciphers

```
deny message = wrong cipher  
    encrypted = DES-CBC3-SHA
```

- Use **\*** to check for any cipher

- Can check a client's certificate

```
accept verify = certificate  
Requires tls_verify_hosts or tls_try_verify_hosts to be set
```

- Insisting on encryption for authentication

```
auth_advertise_hosts = ${if eq{$tls_cipher}{}}\  
    (main option)      {{{*}}}
```

```
accept encrypted = *    (in ACL for AUTH)
```

## More complex ACL for AUTH

- Suppose you want to allow all authentication mechanisms on encrypted connections, but only CRAM-MD5 when the session is not encrypted

```
acl_check_auth:
  accept  encrypted = *
  accept  condition = ${if eq \
                      ${uc:$smtp_command_argument}} \
                      {CRAM-MD5}{yes}{no}}
  deny    message = Require CRAM-MD5 or \
                      TLS encrypted connection
```

- **\$smtp\_command\_argument** is set in non-message ACLs

## Nested ACLs

- Calling a nested ACL

```
accept  verify = recipient
        acl    = ${lookup{$local_part}dbm; \
                  {/etc/per-user/acls}{$value}fail}
```
- Forced **fail** in a condition expansion ignores the condition  
Above example accepts if lookup forces failure
- An empty ACL causes the condition to fail  
Without **fail**, above example denies if lookup fails

## The Exiscan patch

- Exiscan is a patch that is maintained by Tom Kistner
- It adds conditions to the DATA ACL
  - demime**     sanity checks on MIME structure
  - malware**    detects viruses and other malware using 3rd party scanners such as Sophos
  - spam**        uses results from SpamAssassin
  - regex**        does regex matches on a message
- Each condition passes back expansion variables that contain useful information
- Get Exiscan from <http://duncanthrax.net/exiscan/>

## Testing policy controls

The **-bh** option runs a fake SMTP session

```
exim -bh 192.203.178.4
>>> host in host_lookup? yes (matched "*")
>>> looking up host name for 192.203.178.4
>>> IP address lookup yielded dul.crynwr.com
>>> checking addresses for dul.crynwr.com
>>> 192.203.178.4
>>> host in host_reject_connection? no (option
                                     unset)

...
LOG: SMTP connection from dul.crynwr.com [192...]
220 your.host.name ESMTP Exim 4.20 Wed, 20 Mar...
enter SMTP commands here
```

## Message filtering

- Exim supports three kinds of filtering
  - User filter: run while routing (“*forward with conditions*”)
  - System filter: run once per message per delivery attempt
  - Transport filter: external program added to transport
- User and system filters are run for each delivery attempt
  - If delivery is deferred, filters run more than once
- User and system filters use the same syntax
  - System filter has some additional commands (**fail**, **freeze**)
  - They can be enabled for redirection filters
- Exim also supports a *local\_scan()* function
  - Local C code can inspect a message at the point of arrival

## The system filter (1)

- Runs once per message, at every delivery start
  - Use **first\_delivery** to detect very first time
  - Can see all recipients in **\$recipients**
- Can add to recipients or completely replace recipients
  - Non-significant delivery adds, significant delivery replaces
- Can add header lines that are visible to the routers, transports, and user filters
- Can remove header lines
- Can freeze message or bounce it
- Set up by

```
system_filter = /etc/exim/sysfilter
system_filter_file_transport = address_file
system_filter_pipe_transport = address_pipe
system_filter_user = exim
```

## The system filter (2)

- Not powerful enough to do detailed spam checking
- Useful for per-message logging or archiving tasks

```
#Exim filter
if first_delivery and
  ${mask:$sender_host_address/24}
  is 192.168.34.0/24
then
  unseen save
  /var/mail/archive/${substr_0_10:$tod_log}
endif
```

- Cannot use for per-recipient tasks, but can see all recipients

## The system filter (3)

- Example: autoreply for an obsolete domain

```
if $recipients contains obs.domain.example
then
  mail
  from postmaster@your.domain
  subject "Warning: obsolete domain used"
  file /var/mail/obs-warn-message
  once /var/mail/obs-warn-oncelog
  once_repeat 3d
endif
```

- This sends just one message, even if there are several recipients in the obsolete domain

## Filter commands

- **deliver** does “true” forwarding (sender does not change)
- **save** delivers to a named file
- **pipe** delivers via a pipe to a given command
- **mail** generates a new mail message
- **logwrite** writes to a log file
  
- **deliver**, **save**, and **pipe** are significant by default  
Can be made not significant by **unseen**
- **logwrite** happens during filtering
- The others are just set up during filtering and happen later  
The result of **pipe** is not available during filtering
  
- Can lock out a number of facilities in user filters  
**save**, **pipe**, **mail**, and **logwrite** commands  
existence tests, lookups, Perl, **readfile**, **run** in expansions

## *local\_scan()* function

- An installation can supply its own *local\_scan()* function  
Written in C and linked into the Exim binary
- Called just before a message is accepted, after all other tests
- Can inspect header lines (in main memory) and body (on disk)
- Can reject the message with custom error message  
Permanent or temporary rejection
- Can accept the message  
Add or remove header lines  
Modify the recipients list (no recipients => discard)  
Supply a string for **\$local\_scan\_data**

Exim is available from

**<ftp://ftp.csx.cam.ac.uk/pub/software/email/exim/...>**

**[../exim4/exim-4.xx.tar.gz](#) (or [.bz2](#)) is the latest release**

- GNU General Public Licence
- ASCII documentation included
- PostScript, PDF, Texinfo, HTML are also available
- FAQ in ASCII and HTML with keyword-in-context index
- See also **<http://www.exim.org>**
- Discussion list: **[exim-users@exim.org](mailto:exim-users@exim.org)**
- Announce list: **[exim-announce@exim.org](mailto:exim-announce@exim.org)**